Docket No.: 2013P119

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

KI HO HAN, ET AL.

Application No.:

Filed:

For: pmd compensator based on separation of principal state of poloarization control

and differential group delay control, and

method thereof

Art Group

Examiner:

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted concurrently with the Utility Application. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

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The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Eric S. Hyman, Reg. No. 30,1

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (310) 207-3800

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Complete if Known Substitute for form 1449A/PTO Application Number INFORMATION DISCLOSURE Filing Date Ki Ho Han First Named Inventor STATEMENT BY APPLICANT Art Unit (use as many sheets as necessary) Examiner Name 2 Sheet 1 of Attorney Docket Number 2013P119

U.S. PATENT DOCUMENTS					
Document Number		Publication Date	Pages Columns Lines Whe		
Examiner Initials*	Cite No.1	Number - Kind Code² (if known)	or Iccus Data	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5930414	07-27-1999	Fishman et al.	
		US-			

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.¹	Foreign Patent Document			Pages, Columns, Lines			
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵		
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<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO				Complete if Known		
				Application Number		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			SUKE	Filing Date		
			CANT	First Named Inventor	Ki Ho Han	
				Art Unit		
				Examiner Name		
Sheet	2	of	2	Attorney Docket Number	2013P119	

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NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journ symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						
-		Photonics Conference, 2002, October 30 - November 1, 2002, 4 pages					
		IEEE Photonics Technology Letters, Vol. 9, no. 1, January 1997, pages 121-123					
		Electronics Letters, December 10, 1998, vol. 34, no. 25, pages 2421-2422					
·							

Examiner	 Date	
Signature	Considered	

Based on PTC/SB/08B (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003. Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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